

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

**EP 0 736 303 B1**

(12)

**EUROPEAN PATENT SPECIFICATION**

(45) Date of publication and mention  
of the grant of the patent:  
25.08.1999 Bulletin 1999/34

(51) Int. Cl.<sup>6</sup>: **A61K 38/21**, A61K 9/08,  
C07K 14/56

(21) Application number: 96105142.2

(22) Date of filing: 30.03.1996

**(54) Interferon solution**

Interferon-Lösung

Solution d'interféron

(84) Designated Contracting States:  
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC  
NL PT SE

(30) Priority: 06.04.1995 EP 95105166

(43) Date of publication of application:  
09.10.1996 Bulletin 1996/41

(73) Proprietor:  
F. HOFFMANN-LA ROCHE AG  
4070 Basel (CH)

(72) Inventors:  
• Gross, Günter  
79576 Weil am Rhein (DE)  
• Terzo, Sabino Del  
Allendale, NJ 07401 (US)  
• Kumar, Saran Kandakuri  
Edison, NJ 08820 (US)

(74) Representative:  
Witte, Hubert, Dr. et al  
F.Hoffmann-La Roche AG  
Patent Department (PLP),  
124 Grenzacherstrasse  
4070 Basel (CH)

(56) References cited:  
EP-A- 0 284 249 EP-A- 0 593 868  
WO-A-94/26302

- PATENT ABSTRACTS OF JAPAN vol. 11, no. 139 (C-420), 7 May 1987 & JP 61 277633 A (TORAY IND INC), 8 December 1986,
- Nature, vol.292, no.5826, 1981, pp.842-844
- Nature, vol.295, 11 Feb.1982, pp.503-508
- Journal of Interferon Research, vol.1(3), 1981, pp.381-390

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

**EP 0 736 303 B1**

## Description

[0001] The present invention relates to an aqueous solution of interferon alpha which is suitable for parenteral administration. The manufacture of interferon solutions involves a number of problems which are caused by the sensitivity of the active ingredient against physical and chemical influences and which hitherto could not be solved satisfactorily. Like other proteins interferon in aqueous solutions is subject to chemical degradation mechanisms such as proteolysis, oxidation, disulfide exchange, oligomerisation, deamidation and beta-elimination, and physical mechanisms such as aggregation, precipitation and adsorption. Interferon solutions therefore contain additives which are to counteract these effects. For instance, human serum albumin (HSA) is used in commercial preparations as a stabiliser which, however, is problematic in view of the danger of viral contamination and formation of aggregates which in turn may cause antibody formation. Therefore, interferon solutions have already been proposed which avoid the use of HSA and which contain other auxiliary agents, inter alia, non-ionic detergents (cf the International Patent Application WO 89/04177 and Japanese Patent Publication 61-277633). It is further known that the maintainance of particular pH values is important for the stability of interferon solutions. For instance, a pH range of 4.0-6.0 is mentioned in patent application WO 89/04177. Finally, as in other injection solutions further excipients can be required, e.g., agents for adjusting an isotonic solution, and preserving agents.

[0002] Since interferon is highly active and is present in minimal concentration in pharmaceutical preparations, the stability of interferon preparations and guaranteeing a constant concentration of the active ingredient is of particular importance. It has been found that in order to guarantee optimal utilization properties the excipients of an interferon solution must be selected carefully from a multitude of potentially suitable agents and be harmonized with each other. For example, the adsorption of interferon-alpha 2a on glass surfaces has a maximum at pH 5-6 so that this pH would in principle seem unfavourable. On the other hand, covalent degradation reactions proceed through a minimum at this pH. Commercial HSA-stabilized solutions have pH 7. The utilization properties of interferon solutions are influenced by a number of non-correlating factors in an unpredictable manner.

[0003] European patent application EP-A-284249 discloses lyophilized interferon-alpha compositions containing non-ionic surfactants, buffers and isotonicising agents.

[0004] International Patent Application WO 94/26302 discloses aqueous interferon-gamma solutions having a pH of 4.0-6.0 and containing nonionic surfactants, buffers, isotonicising agents and benzyl alcohol as preservative. There is no suggestion in this reference to make substitution of IFN-gamma for IFN-alpha.

[0005] It has now been found that aqueous HSA-free interferon-alpha solutions containing

- (a) an interferon-alpha;
- (b) a non-ionic detergent;
- (c) a buffer for adjusting pH 4.5-5.5;
- (d) benzyl alcohol; and, optionally,
- (e) an isotonicizing agent;

exhibit optimal utilization properties, i.e. storage stability and bioavailability of the declared amount of active ingredient.

[0006] For use in the present invention, any interferon-alpha can be used, e.g., interferon-alpha as disclosed in European Patent No. 43980 (referred to therein as mature human leukocyte interferon-A, see also J. Pharm. Biomed. Analysis Vol. 7, No. 2, 233-238 (1989)).

[0007] The interferon alpha used in this invention may be conjugated to a polymer such as a polyalkylene glycol (substituted or unsubstituted), for example polyethylene glycol, to form PEG-interferon alpha. Conjugation may be accomplished by means of various linkers known in the art, in particular by linkers such as those disclosed in European patent publication EP-A-0510356 and A-0593868. The molecular weight of the polymer, which is preferably polyethylene glycol, may range from 300 to 30,000 daltons, and one or more, preferably one to three, polymers may be conjugated to the interferon alpha. A preferred interferon-alpha conjugate is formed using interferon alpha 2a.

[0008] A preferred interferon-alpha for use in the present invention is interferon-alpha 2a and pegylated (PEG) interferon-alpha 2a. Preferably, the solutions in accordance with the present invention contain  $10^6$  -  $10^8$ , particularly  $1.36 \times 10^6$  International Units (IU) interferon-alpha per ml.

[0009] Examples of non-ionic detergents for use in the preparations in accordance with the invention are Polysorbates, such as e.g. Polysorbate 20 or Polysorbate 80 (polyoxyethylene(20)sorbitan monooleate). The amount of detergent in the solutions in accordance with the invention is about 0.01 - 0.5 mg/ml, preferably 0.05 - 0.2 mg/ml. Preferred buffer substances are ammonium acetate and sodium lactate. The concentration of these buffer substances is suitably about 10 - 15 mmolar. Preferably, the interferon solutions in accordance with this invention are adjusted to pH  $5.0 \pm 0.1$ . Benzyl alcohol is contained in the solutions in accordance with this invention in an amount of about 8 - 20 mg/ml, particularly 10 mg/ml. As isotonicizing agents there come into consideration in particular sodium chloride, mannitol, glycerol and amino acids, particularly arginine, lysine, histidine and methionine, as well as ethanolamine. Sodium chloride or

mannitol are preferred. The amount of these auxiliary agents which is required for achieving isotonicity depends on the composition of the solution and can be determined with ordinary skill.

[0010] The invention is further illustrated by the Examples which follow.

#### 5 Example 1

##### Preparation of PEG-IFN alpha 2a

[0011] **PEGylation:** IFN-alpha 2a was dialysed twice against 10 liters of a buffer consisting of 5 mM sodium acetate pH 5.0 containing 120 mM NaCl. One gram of material (7.26 mg/ml) was PEGylated using a 3:1 molar ratio of solid PEG reagent alpha-methyl-omega-2-[[[3-methyl-2-pyridinyloxy]carbonyl]amino]ethoxy]poly(oxy-1,2-ethanediyl) SRU 110. The pH of the solution was adjusted by adding one-tenth volume of 100 mM sodium borate pH 10.7. Following a one hour incubation at room temperature, the reaction was quenched by addition of 1 M glycine to a final concentration of 20 mM glycine. One twentieth volume of 1 M sodium acetate, pH 4.0 was added to achieve a final pH of 5.0-6.0. The protein solution was diluted fourfold with buffer consisting of 40 mM ammonium acetate pH 4.5.

[0012] **Purification:** The diluted PEGylation mixture was loaded onto a 333 ml CM-cellulose column equilibrated with 40 mM ammonium acetate pH 4.5 at a flowrate of 19 ml/min. PEGylated interferon was eluted with a 0-250 mM NaCl gradient over 8 column volumes. Fractions containing PEG-IFN were pooled according to the results of SDS-PAGE. The final pool contained 291 mg at 0.831 mg/ml. Pooled material was concentrated to 3.96 mg/ml via an Amicon stirred cell ultrafiltration unit using a YM10 (MW cutoff 10000) membrane.

[0013] Concentrated material (238 mg) was loaded onto a 6.3 L S-200 gel filtration column equilibrated with 40 mM ammonium acetate and 125 mM NaCl. The flowrate was 20 ml/min. Fractions were collected and analyzed via SDS-PAGE. The S-200 column pool contained 480 ml at 0.48 mg/ml. An aliquot of the S-200 column pool was concentrated to 8.7 mg/ml using an Amicon stirred cell. This material was used to prepare the formulations of Examples 4 and 5.

#### 25 Example 2

##### Interferon Solution

[0014]

Ingredient	amount per ml
Interferon-alpha 2a	1 - 36 x 10 <sup>6</sup> IU
Ammonium acetate	0.77 mg
Sodium chloride	7.21 mg
Benzyl alcohol	10.0 mg
Polysorbate 80	0.2 mg
Acetic acid ad pH 5.0 ± 0.1	q.s.
NaOH 0.1 N ad pH 5.0 ± 0.1	q.s.
Water for injection	ad 1.0 ml

##### Manufacturing procedure:

[0015] The formulations were prepared under aseptic conditions in a laminar flow bench in 50 ml sterile polypropylene tubes with screw cap. The excipients were dissolved in water for injection, the pH was adjusted and the solutions were gassed with nitrogen. Then, Interferon bulk solution was added under gentle stirring, followed by an adjustment of the pH, if necessary, and the adjustment to the final volume by addition of water for injection. The solutions were sterile filtered into a fresh polypropylene tube using a low protein binding 0.2 µm filter and filled into 2 ml vials of glass type I. The vials were flushed with nitrogen and closed with a butyl rubber stopper, which was laminated with an inert film of fluoropolyethylene.

EP 0 736 303 B1

Example 3

Interferon Solution

5 [0016]

10

15

20

Ingredient	amount per ml
Interferon-alpha 2a	1 - 36 x 10 <sup>6</sup> IU
Ammonium acetate	0.77 mg
Glycerol	20.0 mg
Benzyl alcohol	10.0 mg
Polysorbate 80	0.2 mg
Acetic acid ad pH 5.0 ± 0.1	q.s.
NaOH 0.1 N ad pH 5.0 ± 0.1	q.s.
Water for injection	ad 1.0 ml

[0017] Manufacturing procedure: as in Example 2.

25

Example 4

Interferon Solution

30 [0018]

35

40

45

Ingredient	Amount per ml
PEG-Interferon-alpha 2a	1 - 18 x 10 <sup>6</sup> IU
Ammonium acetate	1.0 mg
Sodium chloride	5.0 mg
Benzyl alcohol	10.0 mg
Polysorbate 80	0.05 mg
Acetic acid ad pH 5.0 ± 0.1	q.s.
NaOH 0.1 N ad pH 5.0 ± 0.1	q.s.
Water for injection	ad 1.0 ml

[0019] Manufacturing procedure: as in Example 2.

50

55

Example 5Interferon Solution

5 [0020]

10

15

20

Ingredient	Amount per ml
PEG-Interferon-alpha 2a	1 - $18 \times 10^6$ IU
Ammonium acetate	1.0 mg
Sodium chloride	3.0 mg
Mannitol	30.0 mg
Benzyl alcohol	10.0 mg
Polysorbate 80	0.05 mg
Acetic acid ad pH $5.0 \pm 0.1$	q.s.
NaOH 0.1 N ad pH $5.0 \pm 0.1$	q.s.
Water for injection	ad 1.0 ml

25 [0021] Manufacturing procedure: as in Example 2.

[0022] For comparison purposes, the interferon-alpha 2a solutions of Example 2 with  $3 \times 10^6$  IU IFN-alpha-2a (A/3),  $6 \times 10^6$  IU IFN-alpha-2a (A/6),  $9 \times 10^6$  IU IFN-alpha-2a (A/9),  $18 \times 10^6$  IU IFN-alpha-2a (A/18) and  $36 \times 10^6$  IU IFN-alpha-2a (A/36) and corresponding solutions without benzyl alcohol (B/3-36) were prepared according to the manufacturing procedure given in Example 2 and stored in the dark at 5, 25 and 35°C. The contents of interferon-alpha-2a in the vials was determined after 3 months of storage. Samples were filtered through a  $0.45 \mu\text{m}$  filter and analyzed by reverse phase HPLC for the remaining main component of interferon-alpha-2a. The HPLC method has a standard deviation of about 5 %. The results of the storage trial is set out in Table 1.

35

Table 1

40

45

50

55

Solution/ $\times 10^6$ IU IFN alpha 2a per ml	Contents of the main component of IFN alpha 2a in % after 3 months at		
	5°C	25°C	35°C
A/3	93.8	60.7	43.5
A/6	91.2	73.9	54.6
A/9	94.1	80.3	61.6
A/18	94.1	84.5	69.0
A/36	91.9	88.5	71.1
B/3	81.0	41.0	8.2
B/6	88.9	55.1	8.5
B/9	89.1	63.3	25.8
B/18	92.2	62.8	26.8
B/36	95.2	72.8	41.2

[0023] The better storage stability of the solution A is particularly evident at increased storage temperature.

[0024] In analogy, a solution of pegylated IFN of Example 4 with  $3 \times 10^6$  IU pegylated interferon-alpha-2a (C/3) and

# EP 0 736 303 B1

a corresponding solution without benzyl alcohol (D/3) were prepared and stored for 24 months at 5 and 25 °C. The results of the storage trial are shown in Table 2.

Table 2

Solution/x 10 <sup>6</sup> PEG IU IFN alpha 2a per ml	Contents of the main component of PEG IFN alpha 2a in % after 24 months at	
	5°C	25°C
C/3	79.6	55.8
D/3	60.1	5.8

[0025] The better storage stability of solutions which were prepared with the addition of benzyl alcohol is evident from these trials also.

## Example 6

[0026] As mentioned above, HSA-free solutions of interferons are known from the International Patent Application WO 89-04177 and the Japanese patent publication 61-277633. The stability of the solutions according to the invention was compared with the stability of interferon-alpha-2a solutions which were prepared in analogy to these known solutions. Solutions of the following composition were prepared:

## Solution X

[0027]

Interferon-alpha 2a	3 - 36 x 10 <sup>6</sup> IU
Ammonium acetate	0.77 mg
Sodium chloride	8.77 mg
Polysorbate 80	0.3 mg
Acetic acid ad pH 5.0 ± 0.1	q.s.
NaOH 0.1 N ad pH 5.0 ± 0.1	q.s.
Water for injection	ad 1.0 ml

## Solution Y

[0028]

Interferon-alpha 2a	3 - 36 x 10 <sup>6</sup> IU
Succinic acid	0.27 mg
Di-Sodium succinate	0.73 mg
D-Mannitol	40.0 mg
Polysorbate 80	0.1 mg
HCl 0.1 N ad pH 5.0 ± 0.1	q.s.
NaOH 0.1 N ad pH 5.0 ± 0.1	q.s.

(continued)

Water for injection

ad 1.0 ml

5 [0029] The solutions X and Y correspond to solutions described in the above documents, with 3,6,9,18 and 36 x 10<sup>6</sup> IU interferon-alpha-2a being used instead of interferon-beta or interferon-gamma. The results obtained after 3 months at various storage temperatures are set out in Table 3 hereinafter

Table 3

Solution/x 10 <sup>6</sup> IU IFN alpha 2a	Contents of the main component of IFN alpha 2a in % after 3 months at		
	5°C	25°C	35°C
X/3	72.5	13.7	0.0
X/6	72.8	3.1	0.0
X/9	83.7	42.6	1.7
X/18	86.5	50.5	2.6
X/36	88.0	54.1	4.5
Y/3	67.1	32.7	0.0
Y/6	80.0	53.5	0.0
Y/9	84.9	63.4	4.3
Y/18	89.0	59.5	13.7
Y/36	90.7	60.0	18.9

30 [0030] From these data it is evident that when applying the technology described in the above-mentioned documents of the state of the art to interferon-alpha-2a no acceptable storage stability can be achieved.

### 35 Claims

#### 1. An aqueous interferon solution containing

- 40 (a) an interferon-alpha;  
(b) a non-ionic detergent;  
(c) a buffer for adjusting pH 4.5-5.5;  
(d) benzyl alcohol; and, optionally,  
(e) an isotonicizing agent.

45 2. An interferon solution according to claim 1, wherein the amount of interferon-alpha is 10<sup>6</sup> - 10<sup>8</sup> IU per ml; the amount of non-ionic detergent is about 0.01 - 0.5 mg per ml; the concentration of buffer is about 10 - 15 mmolar; and the amount of benzyl alcohol is about 8 - 20 mg per ml.

#### 3. An interferon solution according to claim 1 or 2 containing

- 50 (a) interferon-alpha-2a or PEG-interferon-alpha-2a;  
(b) polyoxyethylene(20)sorbitan monooleate;  
(c) ammonium acetate or sodium lactate;  
(d) benzyl alcohol; and  
55 (e) sodium chloride, mannitol, glycerol, arginine, lysine, histidine, methionine or ethanolamine.

#### 4. An interferon solution according to claim 1 or 2 containing per ml



- (a)  $1-36 \times 10^6$  IU of interferon- $\alpha$ -2a;  
 (b) 0.2 mg of polyoxyethylene(20)sorbitan monooleate;  
 (c) 10 mM of ammonium acetate or sodium lactate;  
 (d) 10 mg of benzyl alcohol; and  
 (e) sodium chloride in an amount sufficient to provide an isotonic solution.
- 5
5. An interferon solution according to claim 1 or 2 containing per ml
- (a)  $1-36 \times 10^6$  IU of PEG-interferon- $\alpha$ -2a;  
 (b) 0.05 mg of polyoxyethylene(20)sorbitan monooleate;  
 (c) 13 mM of ammonium acetate;  
 (d) 10 mg of benzyl alcohol; and  
 (e) sodium chloride or mannitol in an amount sufficient to provide an isotonic solution.
- 10
6. An interferon solution according to any one of claims 1-5 having a pH of  $5.0 \pm 0.1$ .
- 15

**Patentansprüche**

1. Wässrige Interferonlösung, enthaltend
- 20
- (a) ein Interferon- $\alpha$ ;  
 (b) ein nicht-ionisches Detergens;  
 (c) einen Puffer zur Einstellung des pH-Wertes auf 4,5-5,5;  
 (d) Benzylalkohol; und gegebenenfalls  
 (e) ein Mittel zur Isotonieeinstellung.
- 25
2. Interferonlösung nach Anspruch 1, wobei die Menge an Interferon- $\alpha$   $10^6$ - $10^8$  IU pro ml, die Menge an nicht-ionischem Detergens etwa 0,01-0,5 mg pro ml, die Pufferkonzentration etwa 10-15 mmolar und die Menge an Benzylalkohol etwa 8-20 mg pro ml beträgt.
- 30
3. Interferonlösung nach Anspruch 1 oder 2, enthaltend
- (a) Interferon- $\alpha$ -2a oder PEG-Interferon- $\alpha$ -2a;  
 (b) Polyoxyethylen(20)sorbitanmonooleat;  
 (c) Ammoniumacetat oder Natriumlactat;  
 (d) Benzylalkohol; und  
 (e) Natriumchlorid, Mannit, Glycerin, Arginin, Lysin, Histidin, Methionin oder Ethanolamin.
- 35
4. Interferonlösung nach Anspruch 1 oder 2 enthaltend pro ml
- 40
- (a)  $1-36 \times 10^6$  IU Interferon- $\alpha$ -2a;  
 (b) 0,2 mg Polyoxyethylen(20)sorbitanmonooleat;  
 (c) 10 mM Ammoniumacetat oder Natriumlactat;  
 (d) 10 mg Benzylalkohol; und  
 (e) Natriumchlorid in einer Menge, die ausreicht, um eine isotonische Lösung bereitzustellen.
- 45
5. Interferonlösung nach Anspruch 1 oder 2 enthaltend pro ml
- (a)  $1-36 \times 10^6$  IU PEG-Interferon- $\alpha$ -2a;  
 (b) 0,05 mg Polyoxyethylen(20)sorbitanmonooleat;  
 (c) 13 mM Ammoniumacetat;  
 (d) 10 mg Benzylalkohol; und  
 (e) Natriumchlorid oder Mannit in einer Menge, die ausreicht, um eine isotonische Lösung bereitzustellen.
- 50
6. Interferonlösung nach einem der Ansprüche 1 bis 5 mit einem pH-Wert von  $5,0 \pm 0,1$ .
- 55

Revendications

1. Solution aqueuse d'interféron, contenant
  - (a) un interféron  $\alpha$ ,
  - (b) un détergent non ionique,
  - (c) un tampon pour l'ajustement du pH à 4,5-5,5,
  - (d) de l'alcool benzylique, et éventuellement
  - (e) un agent d'isotonie.
2. Solution d'interféron selon la revendication 1, dans laquelle la quantité d'interféron  $\alpha$  va de  $10^6$  à  $10^8$  UI par ml, la quantité du détergent non ionique est d'environ 0,01 - 0,5 mg par ml, la concentration du tampon est environ 10 - 15 mM, et la quantité de l'alcool benzylique est d'environ 8 - 20 mg par ml.
3. Solution d'interféron selon la revendication 1 ou 2, contenant
  - (a) de l'interféron  $\alpha$ -2a ou du PEG-interféron  $\alpha$ -2a,
  - (b) du monooléate polyoxyéthylénique (20) de sorbitanne,
  - (c) de l'acétate d'ammonium ou du lactate de sodium,
  - (d) de l'alcool benzylique, et
  - (e) du chlorure de sodium, du mannitol, du glycérol, de l'arginine, de la lysine, de l'histidine, de la méthionine ou de l'éthanolamine.
4. Solution d'interféron selon la revendication 1 ou 2, contenant par ml
  - (a)  $1-36 \times 10^6$  UI d'interféron  $\alpha$ -2a,
  - (b) 0,2 mg de monooléate polyoxyéthylénique (20) de sorbitanne,
  - (c) 10 mM d'acétate d'ammonium ou de lactate de sodium,
  - (d) 10 mg d'alcool benzylique, et
  - (e) du chlorure de sodium en une quantité suffisante pour donner une solution isotonique.
5. Solution d'interféron selon la revendication 1 ou 2, contenant par ml
  - (a)  $1-36 \times 10^6$  UI de PEG-interféron  $\alpha$ -2a,
  - (b) 0,05 mg de monooléate polyoxyéthylénique (20) de sorbitanne,
  - (c) 13 mM d'acétate d'ammonium,
  - (d) 10 mg d'alcool benzylique, et
  - (e) du chlorure de sodium ou du mannitol en une quantité suffisante pour donner une solution isotonique.
6. Solution d'interféron selon l'une quelconque des revendications 1 à 5, ayant un pH de  $5,0 \pm 0,1$ .